

# Strategic Partnership Questionnaire (Mozal)

## Form Preview

### Our Process - Strategic Partnership

\* indicates a required field

#### Working With Us

Our Social Investment Program supports organizations dedicated to creating positive community impact through:

1. **Grants and donations** - typically one-time contributions that focus on reporting the immediate outputs of their activities.
2. **Strategic Partnerships** - these projects generally span multiple years, are higher value and require demonstrating and reporting on the intended shared outcomes and community impact.

Use this form to apply for a **STRATEGIC PARTNERSHIP** with South32. You will be asked to demonstrate the intended impact and outcomes of your project using the Social Impact Measurement Framework. **There is a separate form for donations or grants.**

Note: The 'Applicant' is the Organization (or other entity type) completing this form to apply for monetary and/or non-monetary support through our Social Investment Program.

Please be ready to provide the following information:

- Representative and organization's primary contacts
- Project purpose and intended impact for the community
- Primary beneficiaries of the project
- Desired outcomes and the deliverables that will lead to this positive change
- Evidence of community/stakeholder support
- Project expenses
- Financial statement and relevant policies and procedures

#### Help with SmartyGrants

South32 uses SmartyGrants online social investment tool to manage our program from applications (this form) to assessments and project acquittals. Visit [SmartyGrants Help Guide For Applicants](#) for help using the system.

Note: Fields marked with asterisks(\*) are mandatory. The system will not allow the application to be submitted if mandatory fields are blank or attachments are omitted.

***Please save your application regularly to avoid timing out of SmartyGrants.***

**Select 'confirm' if this social investment project is a Strategic Partnership (not a grant or donation) and you are prepared to demonstrate and report on intended outcomes following the Social Impact Measurement Framework. \***

Confirm  Unsure of social investment type

Refer to the 'Strategic Partnership' definition above.

Please contact us to confirm which Social Investment Program your project fits into and request the link to the South32 Grant / Donation Application form.

**Phone: +25 82 173 5000 | Email: [mozalcommunity@south32.net](mailto:mozalcommunity@south32.net)**

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### Eligibility Criteria

To be eligible for South32's Social Investment Program, you must demonstrate how successfully the project will:

- Deliver benefits to the community in alignment with our focus areas following Social Impact Measurement Framework;
- Support inclusion and community participation;
- Support the needs of the community;
- Deliver present and future benefits to the local area; and
- Support the local environment and natural resources.

South32 will **not** consider the following applications:

- Individuals;
- Fundraising for religious or political purposes;
- Activities that conflict with South32's corporate values, Code of Business Conduct, Anti-Bribery and Corruption Policy or a combination of one, more or all;
- Projects not aligned with our focus areas or able to demonstrate the outcomes and intended impact for the community;
- Projects incapable of delivering measurable benefit(s) to the community; and
- Projects or activities previously supported where South32's support did not (in our view) deliver on the intended purpose and expected benefits.

### Due Diligence

South32's Business Integrity function undertakes due diligence on all social investment applicants across our operations. To help us progress your application, please address all questions in the Due Diligence section of this form.

All applications must pass due diligence before funding is committed.

### Quick Links

- South32 Code of Business Conduct, including our Speak Up Policy ([English](#), [Spanish](#), [Portuguese](#), [Simplified Chinese](#))
- Anti-Bribery and Corruption Policy ([English](#), [Spanish](#), [Portuguese](#), [Simplified Chinese](#))

**Please confirm you have read the assessment and eligibility criteria and meet the requirements of our program. \***

- Confirm  Unsure of eligibility

If you are unsure, please **pause your application** and get in touch with our team.

**Phone: +25 82 173 5000 | Email: [mozalcommunity@south32.net](mailto:mozalcommunity@south32.net)**

### Focus Areas

South32 supports local community projects aligned to four key focus areas. You will be asked to select the most relevant focus area for your project.

**Visit [communities and society](#) at our website to learn more about South32's societal contribution and how we work together with host communities.**

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### 1. Education and leadership

- Education, training, youth development and childcare.
- Supporting lifelong learning and development, nurturing future leaders, and promoting equal access to education, with a focus on science, technology, engineering, and mathematics.

### 2. Economic participation

- Local employment, local business development.
- Supporting local employment, sustainable livelihoods, and diversified local economies.

### 3. Good health and social wellbeing

- Community health, sport and recreation, family, and youth support.
- Supporting community health and social wellbeing and promoting inclusion.

### 4. Natural resource resilience

- Environment, water, land management.
- Supporting communities to thrive in their environment and use natural resources responsibly and sustainably.

## Social Impact Measurement Framework

Our Social Impact Measurement Framework enables us to understand and report on the impact of our social investment, inform future investment decisions and support community partners to identify opportunities to improve project design.

The Framework incorporates the following elements which are covered in this questionnaire.

- **Baseline** - What is the **current status** of the community issue or area of focus?
- **Intent** - What **change** will the project have on the baseline conditions in the community?
- **Output** - What does the project **deliver**? Outputs could be equipment or infrastructure, the number of people who benefit, and other project deliverables.
- **Outcome** - Outcomes are related to the **intent of the project** and are immediate, intermediate or long-term.
- **Impact** - This is the **overall change** that has occurred in the community as a result of the project. This is addressed at project completion.

South32 has a number of outcome indicators, and associated metrics which you will be asked to select from. You can also add your own outcomes and associated metrics.

We can work together to identify outcomes and key metrics.

## About Your Organization

\* indicates a required field

Please enter the **full legal entity name** of your organisation.

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### Organization name (legal entity name) \*

Organisation Name

This is the name that appears on all official documents or legal papers and can be different to a business name. Include any suffix (e.g. Pty Ltd, Inc, S.A, etc.).

### Organization address (location) \*

Address

  

Principal place of business and address for purposes of communications with South32.

### Primary website or social media page of Organization (if applicable)

Must be a URL.

### What type of legal entity is the Organization?

- Government Entity
- Non-Profit Company (NPC)
- Partnership
- Private Limited Company
- Public Limited Company
- State-Owned Entity
- Trust
- Non-Profit Organization (NPO)

### Does the Applicant Organization have a business registration, EIN, PBO or NPO number?

- Business Registration Number (BRN)
- Employer Identification Number (EIN)
- Non-Profit Organisation Number (NPO)
- Public Benefit Organisation Number (PBO)
- Other:

You will be asked to provide these numbers in the next section.

### Business Registration Number (BRN) \*

### Employer Identification Number (EIN) \*

### Non-Profit Organization Number (NPO) \*

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### Public Benefit Organization Number (PBO) \*

### Authorized Representative Contact

The Representative is the individual authorized by the Organization to provide information on their behalf. The Representative is the point of contact for the application and future reporting requirements and is the authorized signatory to the Legal Declaration submitted as part of this application.

### Authorized representative name \*

Title      First Name      Last Name

<input type="text"/>	<input type="text"/>	<input type="text"/>
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### Representative position \*

Role, job title.

### Representative contact number \*

### Representative email address \*

Must be an email address.

### Primary Cause or Service

#### What is your organization's primary cause or service? Make only one selection \*

- |  |   |  |
|--|---|--|
| <input type="radio"/> Agriculture, fisheries and forestry  | <input type="radio"/> Environmental conservation and protection | <input type="radio"/> Public safety and security         |
| <input type="radio"/> Animal welfare   | <input type="radio"/> Food security                             | <input type="radio"/> Science, technology and innovation |
| <input type="radio"/> Arts and culture   | <input type="radio"/> Health and wellbeing                      | <input type="radio"/> Sport and recreation               |
| <input type="radio"/> Community development and infrastructure                                       | <input type="radio"/> Protecting Human Rights                   | <input type="radio"/> Disaster preparedness and relief   |
| <input type="radio"/> Cultural leadership and wellbeing (Indigenous, Traditional and Tribal Peoples) | <input type="radio"/> Humanitarian aid                          | <input type="radio"/> Family and domestic violence       |
| <input type="radio"/> Economic development and empowerment   | <input type="radio"/> Information and communications            | <input type="radio"/> Water, sanitation and hygiene      |
| <input type="radio"/> Education and skills development   | <input type="radio"/> International Relations                   |  |

Please choose the most applicable from the list provided.

### Project Details

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\* indicates a required field

### Project title \*

This will be how we will refer to your project if successful. Please ensure it adequately describes the work.

### Start date \*

Must be a date.

### End date \*

Please ensure that the end date is not earlier than the start date.

### Provide a short description of your project. \*

Think of this as 'the project pitch'.

### Project proposal (optional)

Attach a file:

### Why does this work need to be done? \*

This is the 'Baseline' - the current status of the community issue or area of focus that your project targets. (200 words recommended)

### Has your organization previously received support from South32? (monetary or non-monetary)? \*

Yes  No

This could be through a grant or previous strategic partnership.

### Please provide details of previous support. \*

## Focus Area

### Which South32 focus area is most relevant to the project? \*

- Education and Leadership  
 Economic Participation

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- Good Health and Social Wellbeing
- Natural Resource Resilience

### How can this project create shared value for your organization, the community and South32? \*

Refer to our focus areas on the first page of this form and visit [South32 communities and society](#) for more information about working together.

### Scholarship or Bursary

#### Is this social investment project a scholarship or bursary program? \*

- Yes
- No

An amount of money given to a person by an organization to pay for them to study. This could cover the cost of tuition or support with living expenses during studies.

#### Is this social investment project an Adult Learning Program? \*

- Yes
- No

Any form of learning undertaken by or provided for adults (over 18) for vocational, professional or technical competence.

These are the target numbers for your Education and Leadership projects. You will be asked to report on the number of recipients/participants by gender and ethnicity in your acquittal.

Number of scholarship / bursary recipients or adult learning participants (per year)	% recipients identify as women or girls (if known)	% recipients identify as Indigenous, Traditional, Tribal Peoples (if known)
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\*

Must be a number.

Must be a number.

Must be a number.

### Community

#### Which local communities will benefit from this project? \*

- Boane
- Matola
- Namaacha
- Moamba
- Maputo City
- Other:

If your community is not listed, please contact us before proceeding with your submission.

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**Does this project have community support? In particular, do the beneficiaries and/or geographic communities affected by this project/program support the activities you are proposing? \***

Yes

No

Not applicable

**Please upload evidence of community or stakeholder support (optional).**

Attach a file:

This could be a letter from a local municipality council or a potential beneficiary of your project. Optional but recommended.

## Milestones

Please define the key milestones you expect to pass through as part of your project.

Milestone	Start date	End date	Explanatory notes
One per row. e.g. Planning; recruitment; evaluation. Add more rows if you want to list additional milestones.	Leave blank if date is unknown or not relevant. Must be a date.	Leave blank if date is unknown or not relevant. Must be a date.	Add notes if you need to provide more context.

## Recognizing Support

**How will South32's support be recognized? \***

Social media

Press release / media engagements

None / requires anonymity

Website

Exclusive mining partner

Naming rights

Promotional materials

Signage

Event communications

Other:

At least 1 choice must be selected.

You may select more than one option.

**Please provide any relevant information about how you will acknowledge our support or if anonymity is required.**

## Project Outcomes

\* indicates a required field

### Primary Beneficiaries

**Who are the primary beneficiaries of this project/program? \***



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No more than 2 choices may be selected.

Please choose only the group/s that are at the very core of this project/program.

### How many primary beneficiaries do you hope to reach? \*

This is the total number. You will also be required to include the number of beneficiaries for each output metric (if relevant) in the following sections.

### Indirect Beneficiaries

Indirect beneficiaries are those who may not be targeted by your project but are expected to benefit from it. For example, a country sports program might be expected to improve the health of the participants ('rural children and youth'), but also to contribute to strengthened community cohesion and capacity building through greater involvement in sports clubs ('rural adults').

### Please list any indirect beneficiaries you anticipate will or may benefit from your project.

One per row. You may add extra rows if required.

### Target Population Reach

One of the ways we report on Strategic Partnerships is through the reach of these projects.

For example, if your project aligns with our **economic participation** goal of 'diversified local economies' you would include the number of businesses involved. We refer to these as Small Medium Micro Enterprises (SMMEs).

If you share our **good health and wellbeing** goal of 'community health' you could tell us how many community groups and households are involved from the service population in your area.

Number Small Medium  
Micro Enterprises  
(SMMEs) or community  
groups

Must be a number.

Service population

Must be a number.

Number households

Must be a number.

### What is the intended lasting impact of the project for the community and the beneficiaries? \*

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This is the project 'Intent', the change the project will have on the baseline conditions in the community. Refer to previous question - 'Why does this work need to be done?'

**Please provide documentation to support your intended impact.**

Attach a file:

For example, the 'theory of change'.

## Outcomes

**Outcomes are the changes you expect to occur for the beneficiaries of your initiative and are linked to the project 'Intent'. Please select the relevant outcomes from the dropdown list available.**

TIP - Generally outcomes can be framed as an increase or decrease in one or more of the following:

- Skills, knowledge, confidence, aspiration, motivation. These are generally **immediate** or short-term outcomes (within a month)
- Actions, behavior, change in policy. These are generally **intermediate** or medium term outcomes (1 month - 2 years)
- Social, financial, environmental, physical conditions. These are generally **long-term** outcomes which we ask you to share at project completion (2 years after activity)

Please list the anticipated outcomes of your initiative in the table below, ensuring each outcome is in a separate row. Strategic Partnerships should align with at least one of the defined South32 outcomes.

### Alignment with South32 outcomes

### Timeframe

Which of our outcomes will your project contribute to?	When do you expect this outcome to emerge?

## Using Metrics to Demonstrate Outcomes

**A metric is a measurement that indicates your progress towards achieving the intended outcome.**

Tip - You may only select metrics that correspond to the outcomes listed above. All other metrics will be 'greyed out' and unavailable. In the subsequent question, 'Your Metrics', you will have the opportunity to add your own metrics and outcomes in free-text fields.

Please indicate which of the quantitative metrics you can report on. Select only the metrics you plan to measure. If you are unsure, leave the field blank and consult with our team.

### Metric

### Target

### Collection method

Drop-down list. Which of our metrics (if any) will you track? You may be required to report on your progress. Add more rows if you want to list additional metrics.	Identify a target for the metric you have chosen - an estimated total for your project. Must be a number.	How will you collect and verify the data? E.g. survey, interviews/ case studies, focus groups, administrative data (e.g. case management data), observation/ estimation, government or public
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		dataset (e.g. Census), other datasets.

### Your Metrics

Please use this table to add your own metrics and outcomes that are not captured in the tables above. Only assign one metric per row.

Metric / Output	Type of metric	Target	Your outcome	Explanatory notes
Free-text field. What will your project deliver? Add extra rows per metric.	Is this a % or whole number?	The target for the metric - an estimated total for your project.	What outcomes or changes will this output lead to?	What else would you like us to know?

### Your Approach to Sustainability and Inclusion

We view sustainability as supporting the needs of the present without compromising the ability of future generations to meet their own needs. Inclusion is everyone's responsibility to create a place to belong. At South32, we aim to be welcoming and inclusive and embrace and celebrate differences. We look for this shared commitment to sustainability and inclusion in our community partners and social investment projects.

**Please describe your organization's approach to sustainability. \***

Read [South32's approach to sustainability](#)

**How will you ensure your project is inclusive, accessible and safe for vulnerable people? \***

If the target beneficiary group you selected in this application form is considered a 'vulnerable group' please provide more detail in this section. Vulnerable groups suffer from structural discrimination and are at the greatest risk of human rights violations. They could include (but are not limited) women, children, older persons, persons with disabilities, ethnic minorities, indigenous peoples and people living with HIV/AIDS.

### Project Funding

\* indicates a required field

**What type of support are you requesting? \***

Monetary

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- Non-monetary
- Both (monetary and non-monetary)

If unsure, please talk to our team before proceeding.

### Please select local currency \*

- Australia = AUD
- Brazil = BRL
- Canada = CAD
- Chile = CLP
- Colombia = COP
- Mozambique = MZN
- Singapore = SGD
- South Africa = ZAR
- United Kingdom = GBP
- United States = USD

### What is the TOTAL value of the financial support (monetary) you are requesting in this application? \*

\$

The total value represents the amount requested for the entire duration of the strategic partnership.

## Multiple Payments

Please tell us the value of the **financial support (monetary)** you are requesting per year.

### Amount requested - year 1 \*

Must be a dollar amount.

What is the amount (in dollars only) of the total requested funds committed in the first year?

### Amount requested - year 2

Must be a dollar amount.

What is the amount (in dollars only) of the total requested funds committed in the second year?

### Amount requested - year 3

Must be a dollar amount.

What is the amount (in dollars only) of the total requested funds committed in the third year?

### What is the estimated TOTAL value of the non-monetary support you are requesting in this application? \*

Must be a dollar amount.

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Please tell us the estimated **non-monetary value** of the social investment per year. Simply divide the TOTAL non-monetary value by the number of years if it is unlikely to differ year on year.

### Non-monetary - year 1 \*

Must be a dollar amount.

### Non-monetary - year 2

### Non-monetary - year 3

## Project Expenses

Provide details of significant project expenses. You may upload an itemised budget to support the expenses listed in the table.

Description of expense	Amount (local currency)	Budget / attachments
	Must be a dollar amount.	Budgets or quotes etc.
	\$	
	\$	

What is the total overall value of the project and how much will your organization contribute?

### Total project cost \*

Must be a dollar amount.

What is the total budgeted cost (dollars) of your project? This should reconcile with the expenses above.

### Organization's contribution \*

Must be a dollar amount.

How much will your organization contribute to the total cost of the project?

## Bank Details

Please provide the Organization bank details (this will be used if the application is successful).

### Name of Bank \*

### Applicant Primary Bank Account \*

Account Name

Account Number

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### Payment to Third Parties

**Are any third party vendors (e.g. vendors, suppliers, contractors, sub-contractors, consultants, etc.) being engaged to assist in delivery of the project \***

Yes  No

A third party includes any vendor, supplier, contractor, sub-contractor, consultant, or similar, that will (or may) provide goods and/or services as part of the project. Third parties may be subject to South32's due diligence review.

Please provide the third party vendor's information.

Full vendor name	Vendor Number	Specific work to be delivered by vendor	Amount (local currency)	Optional attachment
List one vendor per row.		Brief description	Amount expected to be paid to vendor.	e.g., Quote. Use the "Add More" button to add rows.
			\$	

### Other Contributors

**Are any other companies, organizations, individuals or government entities contributing monetary or non-monetary support to this project? \***

Yes  No

Please provide the details of main contributors.

**Contributor name**

## Due Diligence

\* indicates a required field

The following due diligence questions focus primarily on:

- Organization ownership
- Key Management and Board Directors
- Government affiliations
- Evidence of appropriate policies and procedures
- Disclosure of prior issues and/or convictions.

Please ensure to provide sufficient information, we may need to contact you for additional information if required.

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### Ownership

**Is the Organization government or state owned or controlled? \***

- Yes  No

You should have selected 'Government Entity' or 'State-owned Entity' as the entity type.

**% government / state owned**

Do not include percentage symbol (%)

**Government entity name**

**Do any individuals, directly or indirectly, hold a legal or beneficial shareholding or ownership interest in the Organization? \***

- Yes  No

Include indirect owners and attach an ownership chart / diagram where necessary.

First name	Middle name	Last name	Ownership %	Ownership chart / diagram
	If known.		Must be a number.	

### Board of Directors / Executive Committee / Council Members

**Does the Organization have a Board of Directors / Executive Committee / Council Members? \***

- Yes  No

If the Organization is a Shire, Town, Regional Council or Municipality (or similar), please disclose any additional current or past government positions in the 'Government Officials' section below.

**Provide the full names of all current Directors / Executive Committee Members / Council Members.**

First name	Middle name	Last name	Position
	If known.		

### Key Management

Provide the full names of all key management of the Organization who will be accountable for ensuring South32's support is used for the requested purpose.

First name	Middle name	Last name	Position
Add a new row for each individual.	If known.		

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### Government Officials

For the purposes of this application, “Government Official” includes:

- Employees or officers of any national, state, regional, local or municipal authorities, as well as from public international organizations and organizations owned or controlled by a government body;
- politicians, political party officials and candidates;
- leaders of Indigenous, Traditional and/or Tribal Peoples;
- senior members of royal families; and
- in some cases, close relatives of any of the above.

**Are any of your Key Management Team or Board Directors identified in this form Government Officials? \***

- Yes  No

### Current or Past Officials

Government connection	First name	Middle name	Last name	Government position	Government entity name	Known start date	Known end date
Select from the drop down menu.		If known.		Please insert the specific Position Title.	Please insert the specific Government Entity Name.	Or close approximate	Or close approximate. Not required if “Current Official”.

### Anti-Bribery and Corruption Compliance

South32’s Code of Business Conduct and Anti-Bribery and Corruption (ABC) Policy prohibits fraud, bribery and corruption in any form. We require those who we support to meet our requirement to not engage in fraud, bribery or other corrupt conduct.

You can access our Code and ABC Policy on [South32's website](#), or direct in various languages [English](#), [Spanish](#), [Portuguese](#), [Simplified Chinese](#)

For the purposes of this application, a “bribe” and “facilitation payment” are terms as defined on page 2 in our ABC Policy.

**Does the Applicant Organization prohibit the offering, promising or giving of bribes, including Facilitation Payments? \***

- Yes  No

**The answer you have provided does not align with South32’s ABC Policy. Explain why your Organization does not prohibit bribery and/or facilitation payments? \***



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### Prior Issues

In the past 7 years, has the Organization (including any director or member of management) been convicted of violating any:

- Laws related to anti-bribery and corruption, including tax evasion, financial mis-reporting, anti-fraud?
- Human rights laws?

**Answer \***

- Yes  No

**Who was convicted of a violation(s)? \***

- The Organization  
 Member/s of the Organization  
 Both the Organization and member/s of the Organization

**Provide details of the conviction against the Organization. \***

Must be no more than 250 words.

**Please include attachments (optional)**

Attach a file:

Provide the names of the member/s who were the subjects of the conviction or violation.

First name	Middle name	Last name	Description	Optional attachment
Add a new row for each individual.	If known.			

In any country in the past 7 years, has the Organization (including any director or member of management) been, or is still, subject to any:

- investigation,
- shareholder action,
- allegation, or
- prosecution

by a regulatory agency or other body for:

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- bribery, corruption, fraud, money laundering, false accounting, tax evasion or other serious financial related misconduct,
- trade controls and/or sanctions violations,
- a human rights violation, or
- other crime?

**Answer \***

- Yes  No

**Who was the subject of the activity/s? \***

- The Organization  
 Member/s of the Organization  
 Both the Organization and member/s of the Organization

**Provide details of the activity/s against the Organization. \***

Must be no more than 250 words.

**Please include attachments (optional)**

Attach a file:

Provide the names of the member/s who were the subjects of the investigation, shareholder action, allegation, or prosecution,

First name	Middle name	Last name	Details	Optional attachments
Add a new row for each individual.	If known.			Reports, court documents or findings.

## Financial Statement

**Does the Organization prepare annual financial statements? \***

- Yes  No

**Upload financial statement \***

Attach a file:

## Policies and Procedures

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**Select ALL the policy/procedure/constitution documents currently implemented and operating at the Organization.**

*Please tick the checkbox for **ALL applicable documents** and provide relevant documentation based on your selection/s.*

**Policy \***

- Code of conduct/ethics (or equivalent)
- Anti-bribery and corruption and/or anti-fraud policy/procedure(s) (or equivalent)
- Policies/procedures to ensure accurate accounting and record-keeping
- Policies/procedures for engaging and appropriately paying suitable third parties (i.e., suppliers/contractors), including (for example) due diligence and internal approval requirements
- Constitution Document
- None in place

**Code of conduct/ethics (or equivalent) - Please provide a copy of the policy / procedure \***

Attach a file:

**Anti-bribery and corruption and/or anti-fraud policy/procedure(s) (or equivalent) - Please provide a copy of the policy / procedure \***

Attach a file:

**Policies/procedures to ensure accurate accounting and record-keeping - Please provide a copy of the policy / procedure \***

Attach a file:

**Policies/procedures for engaging and appropriately paying suitable third parties (i.e., suppliers/contractors), including (for example) due diligence and internal approval requirements - Please provide a copy of the policy / procedure \***

Attach a file:

**Constitution Document - Please provide a copy of the policy / procedure \***

Attach a file:

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### Legal Declaration

\* indicates a required field

Note: For this Application Form, an "Authorized Representative" means a natural person duly authorized to sign this completed form on The Applicants behalf.

### Privacy and Data Management Declaration

Where The Applicant provides South32 with information relating to an identified or identifiable person listed in this questionnaire or subsequent due diligence enquiries (personal information), South32 will treat the personal information per [South32's privacy policy](#)

The Applicant confirms it is permitted to disclose personal information to South32 and that the collection and disclosure of personal information complies with applicable privacy and data protection laws in the jurisdiction where it is collected and disclosed, including;

1. Notifying relevant persons that the Applicant is disclosing personal information to South32;
2. Providing relevant persons with a timely copy of South32's privacy policy before disclosing personal information to South32; and
3. Obtaining consent from relevant persons for the disclosure to South32 and the subsequent use or disclosure by South32 where legally required or requested by South32.

**Select the checkbox to confirm that The Applicant has met Privacy and Data Management obligations as legally required. \***

I confirm

The user that submits the Application form in SmartyGrants is deemed to be the individual making the Privacy and Data Management declaration.

### Legal Declaration by Authorized Representative

I, the undersigned, being a duly Authorized Representative of the Applicant, certify:

(i) that to best of my knowledge, all information provided in this Application Form is correct and complete; and

(ii) for and on behalf of The Applicant, that The Applicant and its relevant personnel (i.e., directors, officers, employees, owners, and other representatives):

- understand and follow the expectations set in South32's Anti-Bribery and Corruption (ABC) Policy, approved by South32's Board on 4 November 2019, and will not engage in fraud, bribery, corrupt conduct, or otherwise breach (or cause another to breach) applicable ABC laws. A copy of South32's ABC is available here ([English](#), [Spanish](#), [Portuguese](#), [Simplified Chinese](#)).

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- - will notify South32 immediately if a breach of ABC laws or South32's ABC Policy is suspected or identified where South32 has provided support.
- - will keep and maintain accurate financial records of monetary payments made by or received from South32 and implement adequate controls to mitigate fraud, bribery, and corruption risk relevant to the support provided by South32.

**Select the checkbox to acknowledge that The Applicant understands and complies with the information outlined in the Legal Declaration. \***

I confirm

The user that submits the Application form in SmartyGrants is deemed to be the individual making the Legal Declaration.