Worsley Community Grants Application Form
Form Preview

Our Process

Worsley Community Grants Rounds

The **South32 Worsley Alumina Community Grants Program** provides funding for local organisations, projects and programs that provide a benefit to people in South West communities.

Please use this form to apply for grants of up to A$5,000 (GST exclusive) for eligible projects that support community initiatives within the Shires of Boddington, Collie, Murray, Wandering, Waroona and Williams, or in Greater Bunbury and surrounds.

Applications will be reviewed after the closing date (closes at midnight) and all applicants will be notified of the outcome via email within 30 days of the end of the application period.

If you have any questions, please contact the team:

Worsley Alumina | 1800 555 958 | worsleycommunity@south32.net

Please be ready to provide the following information:

- Representative and organisation's contact details
- Project purpose
- Planned activities and outputs
- Evidence of community/stakeholder support
- Project expenses

The 'Applicant' is the Organisation (or other entity type) completing this form to apply for monetary and/or non-monetary support through our Social Investment Program.

South32's Business Integrity function undertake due diligence on all social investment applicants across our operations. To help us progress your application, please address all questions in the Due Diligence section of this form.

All applications must pass due diligence before funding is committed.

Help with SmartyGrants

South32 uses SmartyGrants online social investment tool to manage our program from applications (this form) to assessments and project acquittals. Visit SmartyGrants Help Guide For Applicants for help using the system.

Note: Fields marked with asterisks(*) are mandatory. The system will not allow the application to be submitted if mandatory fields are blank or attachments are omitted.

Please save your application regularly to avoid timing out of SmartyGrants.

Assessment Criteria and Eligibility

South32 will assess grant applications based on how successfully the project will:
- Deliver benefits to the community in alignment with our focus areas;

- Support inclusion and community participation;
- Support the needs of the community;
- Deliver present and future benefits to the local area; and
- Support the local environment and natural resources.

South32 will **not** consider the following applications:

- Individuals;
- Fundraising for religious or political purposes;
- Activities that conflict with South32's corporate values, Code of Business Conduct, Anti-Bribery and Corruption Policy or a combination of one, more or all;
- Projects not aligned with our focus areas;
- Projects incapable of delivering measurable benefit(s) to the community; and
- Projects or activities previously supported where South32's support did not (in our view) deliver on the intended purpose and expected benefits.

Quick Links

- South32 Code of Business Conduct, including our Speak Up Policy (English, Spanish, Portuguese, Simplified Chinese)
- Anti-Bribery and Corruption Policy (English, Spanish, Portuguese, Simplified Chinese)

Please confirm you have read the assessment and eligibility criteria and meet the requirements of our program. *

○ Confirm   ○ Unsure of eligibility

If you are unsure, please **pause your application** and get in touch with our team.

Worsley Alumina | 1800 555 958 | worsleycommunity@south32.net

Focus Areas

South32 supports local community projects aligned to four key focus areas. You will be asked to select the most relevant focus area for your project.

Visit communities and society at our website to learn more about South32's societal contribution and how we work together with host communities.

1. Education and leadership

- Education, training, youth development and childcare.
- Supporting lifelong learning and development, nurturing future leaders, and promoting equal access to education, with a focus on science, technology, engineering, and mathematics.

2. Economic participation

- Local employment, local business development.
- Supporting local employment, sustainable livelihoods, and diversified local economies.

3. Good health and social wellbeing

- Community health, sport and recreation, family, and youth support.
- Supporting community health and social wellbeing and promoting inclusion.

4. Natural resource resilience

- Environment, water, land management.
- Supporting communities to thrive in their environment and use natural resources responsibly and sustainably.

About Your Organisation

* indicates a required field

Please enter the **full legal entity name** of your organisation.

Organisation name (legal entity name) *
Organisation Name

This is the name that appears on all official documents or legal papers and can be different to a business name. Include any suffix (e.g. Pty Ltd, Inc, S.A, etc.). It should be the same as the ABN entity name (if applicable).

Organisation address (location) *
Address

Principal place of business and address for purposes of communications with South32.

Primary website or social media page of Organisation (if applicable)

Must be a URL.

What type of legal entity is the Organisation? *

○ Company
○ Corporation
○ Government entity
○ Incorporated Association
○ Indigenous Corporation, Association or Cooperative
○ Not-For-Profit (NFP)
○ Non-Government Organisation (NGO)

○ Partnership
○ Registered Charity
○ Social Enterprise
○ Sole Trader
○ Trust

○ Unincorporated Association
○ Other:

Please select ALL relevant business numbers from the list. *

☐ Australian Business Number (ABN)
☐ Australian Company Number (ACN)
☐ Indigenous Corporation Number (ICN)
☐ Not registered
☐ Other:

You will be asked to provide these numbers in the next section.

Please ensure the Entity name in this section matches the Organisation name provided above.

Organisation Australian Business Number *

The ABN provided will be used to look up the following information. Click Lookup above to check that you have entered the ABN correctly.

Information from the Australian Business Register	
ABN	
Entity name	
ABN status	
Entity type	
Goods & Services Tax (GST)	
DGR Endorsed	
ATO Charity Type	More information
ACNC Registration	
Tax Concessions	
Main business location	

Must be an ABN.
Remove all spaces

Australian Company Number (ACN) *

Indigenous Corporation Number (ICN) *

Authorised Representative Contact

The 'Authorised Representative' is the individual authorised by the Organisation to provide information on their behalf. The Representative is the point of contact for the application and future reporting requirements and is the authorised signatory to the Legal Declaration submitted as part of this application.

Authorised representative name *

Title	First Name	Last Name

Representative position *

Role, job title.

Representative contact number *

Representative email address *

Must be an email address.

Primary Cause or Service

What is your organisation's primary cause or service? Make only one selection *

- ○ Agriculture, fisheries and forestry
- ○ Animal welfare
- ○ Arts and culture
- ○ Community development and infrastructure
- ○ Economic development and empowerment
- ○ Education and skills development
- ○ Environmental conservation and protection
- ○ Food security
- ○ Health and wellbeing
- ○ Protecting Human Rights
- ○ Humanitarian aid
- ○ Information and communications
- ○ International Relations
- ○ Public safety and security
- ○ Science, technology and innovation
- ○ Sport and recreation
- ○ Disaster preparedness and relief
- ○ Family and domestic violence
- ○ Aboriginal and Torres Strait Islander services
- ○ Water, sanitation and hygiene

Please choose the most applicable from the list provided.

Project Details

* indicates a required field

Project Details

Project title *

This will be how we will refer to your project if successful. Please ensure it adequately describes the work.

Start date *

Must be a date.

End date *

Please ensure that the end date is not earlier than the start date.

Provide a short description of your project *

Think of this as 'the project pitch'.

Why is this project important for your community? *

What do you hope to achieve? Describe the specific issue or need you want to address (200 words recommended)

Project proposal (optional)
Attach a file:

This could be a business plan for your project, a brochure about your work, or other supporting documentation that demonstrates what you hope to achieve.

Hero image (optional)
Attach a file:

Upload an image that represents your project. Drag and resize the square to define the area of the image you wish to submit.

Has your organisation previously received support from South32 (monetary or non-monetary)? *

○ Yes   ○ No

This could be through a grant, donation, strategic partnership, commercial sponsorship or any other support

Please provide details of previous support. *

Focus Area

Which South32 focus area is most relevant to the project? *
○ Education and Leadership
○ Economic Participation
○ Good Health and Social Wellbeing
○ Natural Resource Resilience

How can this project create shared value for your organisation, the community and South32? *

Refer to our focus areas on the first page of this form and visit South32 communities and society for more information about working together.

Who are the primary beneficiaries of this project/program? *

No more than 2 choices may be selected.
Please choose only the group/s that are at the very core of this project/program.

How many primary beneficiaries do you hope to reach? *

This is the total number. You will also be required to include the number of beneficiaries for each activity in the table below.

Community

Which local government areas will benefit from this project? *
☐ Shire of Boddington
☐ City of Bunbury
☐ Shire of Collie
☐ Shire of Wandering
☐ Shire of Williams
☐ Shire of Murray
☐ Shire of Waroona
If your community is not listed, please contact us before proceeding with your submission.

Does this project have community support? In particular, do the beneficiaries and/ or geographic communities affected by this project/program support the activities you are proposing? *
○ Yes   ○ No   ○ Not Applicable

Please upload evidence of community or stakeholder support (optional).
Attach a file:

This could be a letter from a local council or a potential beneficiary of your project. Optional but recommended.

Scholarship or Bursary

Please select only **ONE** of the following options. Choose 'no' if neither description is relevant to your Education and Leadership project.

Is this social investment project a scholarship or bursary program? *
○ Yes   ○ No
An amount of money given to a person by an organisation to pay for them to study. This could cover the cost of tuition or support with living expenses during studies.

Is this social investment project an Adult Learning Program? *

○ Yes   ○ No

Any form of learning undertaken by or provided for adults (over 18) for vocational, professional or technical competence.

These are the target numbers for your Education and Leadership projects. You will be asked to report on the number of recipients/participants by gender and ethnicity in your acquittal.

Number of scholarship/ bursary recipients or adult learning participants (per year)	% recipients identify as women or girls (if known)	% recipients identify as Aboriginal or Torres Strait Islander (if known)
Must be a number.	Must be a number.	Must be a number.

Activities and Outputs

Tell us about the activities you will undertake in delivering your project and the expected number (target) of outputs.

Outputs include:

- **Participants** - These are the number of people who directly participate in a project. It could include people who receive a care package, people attending a workshop or event.
- **Service populations** - These include the population that a project may provide services to or the population that may utilise a piece of infrastructure or equipment. Similarly, an advertising campaign or newsletter may reach a readership or distribution area.
- **Physical items or deliverables** - These include infrastructure (e.g. buildings, bridges, classrooms), objects (e.g. school desks), or areas (e.g. area of land rehabilitated).

Location:

You can assign a specific location to each activity. If an activity occurs in multiple places, you have two options: either list each location as a separate activity (e.g., Breakfast Club #1 at Location A; Breakfast Club #2 at Location B), or choose one primary location from the above community list.

NOTE: You will need to report on the outputs of these activities against the target numbers in your acquittal. Where possible, participant numbers should be collected at a disaggregated level by:

- Sex: male / female
- Life stage: adult / youth
- Ethnicity: Indigenous / non-Indigenous

Activity	Location	Start date	Target number of outputs	Explanatory notes
One per row. Add more rows if you want to list additional activities.	Where will your activity occur? Leave blank if	Leave blank if date is unknown or not relevant. Must be a date.	Refer to definition of 'outputs' above. Must be a number.	Briefly describe the type of output or include additional context.

	location is unknown or not relevant.			

Your Approach to Sustainability and Inclusion

We view sustainability as supporting the needs of the present without compromising the ability of future generations to meet their own needs.

Inclusion is everyone's responsibility to create a place to belong. At South32, we aim to be welcoming and inclusive and embrace and celebrate differences.

We look for this shared commitment to sustainability and inclusion in our community partners and social investment projects.

Please describe your organisation's approach to sustainability *

Read about Our Approach to Sustainability.

How will you ensure your project is inclusive, accessible and safe for vulnerable people? *

If the target beneficiary group you selected in this application form is considered a 'vulnerable group' please provide more detail in this section. Otherwise, please refer to Australian Charities and Not-For-Profits Commission for a definition and governance toolkit for safeguarding vulnerable people.

Recognising Support

How will South32's support be recognised? *
☐ Social media
☐ Press release / media engagements
☐ None / requires anonymity
☐ Website
☐ Exclusive mining partner

☐ Naming rights
☐ Promotional materials
☐ Signage
☐ Event communications
☐ Other:

At least 1 choice must be selected.
You may select more than one option.

Please provide additional information.

Project Funding

* indicates a required field

What type of support are you requesting? *
○ Monetary
○ Non-monetary
Please confirm your request is for financial support not in-kind.

What is the total value of the financial support (monetary) you are requesting in this application? *

$

Must be a dollar amount.

Project Expenses

Provide details of significant project expenses. You may upload an itemised budget to support the expenses listed in the table.

Description of expense	$ (AUD)	Budget / attachments
	Must be a dollar amount.	Budgets or quotes etc.
	$	
	$	

What is the total overall value of the project and how much will your organisation contribute?

Total Project Cost
*

Must be a dollar amount.
What is the total budgeted cost (dollars) of your project? This should reconcile with the expenses above.

Organisation's Contribution
*

Must be a dollar amount.
How much will your organisation contribute to the total cost of the project?

Bank Details

Please provide the Organisation bank details (this will be used if the application is successful).

Name of Bank *

Applicant Primary Bank Account *
Account Name

BSB Number   Account Number

Third Party Vendors

Are any third parties (e.g. vendors, suppliers, contractors, sub-contractors, consultants, etc.) being engaged to assist in delivery of the project? *

○ Yes   ○ No

A third party includes any vendor, supplier, contractor, sub-contractor, consultant, or similar, that will (or may) provide goods and/or services as part of the project. Third parties may be subject to South32's due diligence review.

Please provide the third party vendor's information.

Full vendor name	Vendor ABN	Specific work to be delivered by vendor	Amount (AUD)	Optional attachment
List one vendor per row.	If known.	Brief description.	Amount expected to be paid to vendor.	e.g., Quote. Use the "Add More" button to add rows.
			$	

Other Contributors

Are any other companies, organisations, individuals or government entities contributing monetary or non-monetary support to this project? *

○ Yes   ○ No

Please provide the details of the main contributors.

Contributor name

Due Diligence

* indicates a required field

The following due diligence questions focus primarily on:

- Organisation ownership
- Key Management and Board Directors
- Government affiliations
- Evidence of appropriate policies and procedures
- Disclosure of prior issues and/or convictions

Please ensure to provide sufficient information, we may need to contact you for additional information if required.

Ownership

Is the Organisation government or state owned or controlled? *

○ Yes   ○ No

You should have selected 'Government' as the entity type.

% government / state owned *

Do not include percentage symbol (%)

Government entity name *

Do any individuals, directly or indirectly, hold a legal or beneficial shareholding or ownership interest in the Organisation? *

○ Yes   ○ No

Include indirect owners and attach an ownership chart / diagram where necessary.

First name	Middle name	Last name	Ownership %	Ownership chart / diagram
	If known.		Must be a number.	

Board of Directors / Executive Committee / Council Members

Does the Organisation have a Board of Directors / Executive Committee / Council Members? *

○ Yes   ○ No

If the Organisation is a Shire, Town, Regional Council or Municipality (or similar), please disclose any additional current or past government positions in the 'Government Officials' section below.

Provide the full names of all current Directors / Executive Committee Members / Council Members.

First name	Middle name	Last name	Position
	If known.		

Key Management

Provide the full names of all key management of the Organisation who will be accountable for ensuring South32's support is used for the requested purpose.

First name	Middle name	Last name	Position
Add a new row for each individual.	If known.		

Government Officials

For the purposes of this application, "Government Official" includes:

- Employees or officers of any national, state, regional, local or municipal authorities, as well as from public international organisations and organisations owned or controlled by a government body;
- politicians, political party officials and candidates;
- leaders of Indigenous, Traditional and/or Tribal Peoples;
- senior members of royal families; and
- in some cases, close relatives of any of the above.

Are any of the Organisation's key management, Directors or ultimate beneficial owners (disclosed in this application) a current or past Government Official? *

○ Yes   ○ No

Government connection	First name	Middle name	Last name	Government position	Government entity name	Known start date	Known end date
Select from the drop down menu.		If known.		Please insert the specific Position Title.	Please insert the specific Government Entity Name.	Or close approximate	Or close approximate. Not required if "Current Official".

Anti-Bribery and Corruption Compliance

South32's Code of Business Conduct and Anti-Bribery and Corruption (ABC) Policy prohibits fraud, bribery and corruption in any form. We require those who we support to meet our requirement to not engage in fraud, bribery or other corrupt conduct.

You can access our Code and ABC Policy on South32's website, or direct in various languages English, Spanish, Portuguese, Simplified Chinese

For the purposes of this application, a "bribe" and "facilitation payment" are terms as defined on page 2 in our ABC Policy.

Does the Applicant Organisation prohibit the offering, promising or giving of bribes, including Facilitation Payments? *

○ Yes   ○ No

The answer you have provided does not align with South32's ABC Policy. Explain why your Organisation does not prohibit bribery and/or facilitation payments? *

Prior Issues

In the past 7 years, has the Organisation (including any director or member of management) been convicted of violating any:

- Laws related to anti-bribery and corruption, including tax evasion, financial mis-reporting, anti-fraud?
- Human rights laws?

Answer *
○ Yes   ○ No

Who was convicted of a violation/s? *
○ The Organisation
○ Member/s of the Organisation
○ Both the Organisation and member/s of the Organisation

Provide details of the conviction against the Organisation. *

Must be no more than 250 words.

Please include attachments (optional)
Attach a file:

Provide the names of the member/s who were the subjects of the conviction.

First name	Middle name	Last name	Description	Optional attachment
Add a new row for each individual.	If known.			

In any country in the past 7 years, has the Organisation (including any director or member of management) been, or is still, subject to any:

- investigation,
- shareholder action,
- allegation, or
- prosecution

by a regulatory agency or other body for:

- bribery, corruption, fraud, money laundering, false accounting, tax evasion or other serious financial related misconduct,
- trade controls and/or sanctions violations,
- a human rights violation, or
- other crime?

Answer *
○ Yes   ○ No

Who was the subject of the activity/s? *
○   The Organisation
○   Member/s of the Organisation
○   Both the Organisation and member/s of the Organisation

Provide details of the activity/s against the Organisation. *

Must be no more than 250 words.

Please include attachments (optional)
Attach a file:

Provide the names of the member/s who were the subjects of the investigation, shareholder action, allegation, or prosecution.

First name	Middle name	Last name	Details	Optional attachments
Add a new row for each individual.	If known.			Reports, court documents or findings

Financial Statement

Does the Organisation prepare annual financial statements? *
○ Yes   ○ No

Organisations requesting a donation or grant over $5,000 are required to upload a financial statement.

Upload financial statement *
Attach a file:

Policies and Procedures

Select ALL the policy/procedure/constitution documents currently implemented and operating at the Organisation.

*Please tick the checkbox for **ALL applicable documents** and provide relevant documentation based on your selection/s.*

Policy *
☐ Code of conduct/ethics (or equivalent)
☐ Anti-bribery and corruption and/or anti-fraud policy/procedure(s) (or equivalent)
☐ Policies/procedures to ensure accurate accounting and record-keeping
☐ Policies/procedures for engaging and appropriately paying suitable third parties (i.e., suppliers/contractors), including (for example) due diligence and internal approval requirements
☐ Constitution Document
☐ None in place

Code of conduct/ethics (or equivalent) - Please provide a copy of the policy / procedure *
Attach a file:

Anti-bribery and corruption and/or anti-fraud policy/procedure(s) (or equivalent) - Please provide a copy of the policy / procedure *
Attach a file:

Policies/procedures to ensure accurate accounting and record-keeping - Please provide a copy of the policy / procedure *
Attach a file:

Policies/procedures for engaging and appropriately paying suitable third parties (i.e., suppliers/contractors), including (for example) due diligence and internal approval requirements - Please provide a copy of the policy / procedure *
Attach a file:

Constitution Document - Please provide a copy of the policy / procedure *
Attach a file:

Legal Declaration

* indicates a required field

Note: For this Application Form, an "Authorised Representative" means a natural person duly authorised to sign this completed form on The Applicants behalf.

Privacy and Data Management Declaration

Where The Applicant provides South32 with information relating to an identified or identifiable person listed in this questionnaire or subsequent due diligence enquiries (personal information), South32 will treat the personal information per South32's privacy policy.

The Applicant confirms it is permitted to disclose personal information to South32 and that the collection and disclosure of personal information complies with applicable privacy and data protection laws in the jurisdiction where it is collected and disclosed, including:

1. Notifying relevant persons that the Applicant is disclosing personal information to South32;
2. Providing relevant persons with a timely copy of South32's privacy policy before disclosing personal information to South32; and
3. Obtaining consent from relevant persons for the disclosure to South32 and the subsequent use or disclosure by South32 where legally required or requested by South32.

Select the checkbox to confirm that The Applicant has met Privacy and Data Management obligations as legally required. *
○ I confirm
The user that submits the Application form in SmartyGrants is deemed to be the individual making the Privacy and Data Management declaration.

Legal Declaration by Authorised Representative

I, the undersigned, being a duly Authorised Representative of the Applicant, certify:

(i) that to best of my knowledge, all information provided in this Application Form is correct and complete; and

(ii) for and on behalf of The Applicant, that The Applicant and its relevant personnel (i.e., directors, officers, employees, owners, and other representatives):

-
    - understand and follow the expectations set in South32's Anti-Bribery and Corruption (ABC) Policy, approved by South32's Board on 4 November 2019, and will not engage in fraud, bribery, corrupt conduct, or otherwise breach (or cause another to breach) applicable ABC laws. A copy of South32's ABC is available here (English, Spanish, Portuguese, Simplified Chinese).
-

- will notify South32 immediately if a breach of ABC laws or South32's ABC Policy is suspected or identified where South32 has provided support.

-

  - will keep and maintain accurate financial records of monetary payments made by or received from South32 and implement adequate controls to mitigate fraud, bribery, and corruption risk relevant to the support provided by South32.

Select the checkbox to acknowledge that The Applicant understands and complies with the information outlined in the Legal Declaration. *

○   I confirm

The user that submits the Application form in SmartyGrants is deemed to be the individual making the Legal Declaration.